



#3 / 155
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R. Stokes

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Naoto Horiguchi et al

Serial No.: 09/726,386

Group Art Unit: 2811

Filed: December 1, 2000

Examiner: Not Yet Assigned

For: SEMICONDUCTOR MEMORY WITH FLOATING GATE TYPE FET

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

September 6, 2001

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

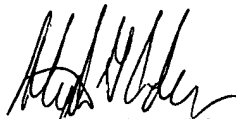
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

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The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,
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A handwritten signature in black ink, appearing to read 'Stephen G. Adrian', is positioned above the printed name.

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Enclosures: PTO-1449 and 1 reference